IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re application of: Schuler et al. | Group No: 3772 | |
|-----------------------------------------------------------|-----------------------------------------------|--|
| Application No: 09/852,408 Confirmation No. 5388 | Examiner: Patel, Nihir B | |
| Filed: May 9, 2001 | Attorney Docket No: 53260-US-CNT (NK.0064.00) | |
| Title: LOCKOUT MECHANISM FOR AEROSOL DRUG DELIVERY DEVICE | October 20, 2009 San Francisco, CA 94107 | |
| | | |

| Mail Stop – Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Extension of Time ☐ Applicant petitions for an extension of time under 37 C.F.R. 1.136 | | |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|----------------------|--------------|
| Via EFS ☐ Request for Continued Examination (R.C.E.) ☐ Comments on Statement of Reasons for Allowance ☐ Notice of Appeal (form PTO/SB31) ☐ Drawings ☐ Supplemental Information Disclosure Statement ☐ PTO-SB08 Form ☐ Citations ☐ Terminal Disclaimer ☐ Postcard for Return | Extension (Months) | Extension Fee | |
| | | Large Entity | Small Entity |
| | ☐ One Month | \$130.00 | \$65.00 |
| | ☐ Two Months | \$490.00 | \$245.00 |
| | ☐ Three Months | \$1,110.00 | \$555.00 |
| | Total \$ <u>0.00</u> | | |
| | | de in case applicant | |

| | Claims remaining after amendment | | Number Extra | Rate | | Additional Fee |
|-----------------------------------------------|----------------------------------|----|--------------|--------------|--------------|----------------|
| | | | | Large Entity | Small Entity | |
| Total Claims | 27 | 36 | 0 | \$52.00 | \$26.00 | \$0.00 |
| Independent Claims | 2 | 4 | 0 | \$220.00 | \$110.00 | \$0.00 |
| Multiple Dependent Claims | | | 0 | \$390.00 | \$195.00 | \$0.00 |
| Supplemental Information Disclosure Statement | | | i | | | |
| | 1 | | | <u> </u> | Total | \$0.00 |

| Fee Payment | | Fee Deficiency | | |
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| Extension Fees \$0.00 | | ☑ If any additional extension and/or fee is required, please charge Deposit Account No. 10-0258. | | |
| R.C.E. | \$810.00 | and/or ☑ If any additional fee for claims is required, please charge Deposit | | |
| Total | \$810.00 | Account No. <u>10-0258</u> . | | |
| ☐ Attached is check noin the sum of \$0.00. ☑ Please charge Deposit Account No. 10-0258 in the sum of \$810.00. | | Please direct telephone calls to: Guy V. Tucker at (415) 538-1555 Please send correspondence to: NOVARTIS | | |
| CERTIFICATE OF TRANSMISSION (37 C.F.R. § 1.8a) | | | | |
| I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450; facsimile transmitted to the U.S. Patent Office at (571) 263-8300; or electronically submitted via EFS on the date shown below: By: Date: October 20, 2009 Melanie Hitchcock Date: October 20, 2009 Date: October 20, 2009 | | Corporate Intellectual Property One Health Plaza 104/3 | | |
| | | East Hanover, NJ 07936-1080 | | |
| | | Respectful Submitted, By: Date: October 20, 2009 | | |
| | | | | Guy/V. Tucker Registration No. 45,302 |